

1 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

2 Application Serial No.....Not Yet Assigned
3 Filing Date.....Herewith
4 Inventorship.....Fee
5 Applicant.....Microsoft Corporation
6 Attorney's Docket No.MS1-1809US
7 Title: Evidence Based Application Security

8 **INFORMATION DISCLOSURE STATEMENT**9 **References -- See Attached Form PTO-1449**

10 To: Commissioner of Patents and Trademarks,
11 Washington, D.C. 20231

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16 The attached form PTO-1449 is submitted in compliance with Applicant's duty of
17 disclosure under 37 CFR §1.56.

18 The Commissioner is hereby authorized to charge payment of fees or credit
19 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

20 Dated: 11-10-03

21 By: Mark D. Trenner
22 Mark D. Trenner
23 Reg. No. 43961
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
		Application Number	Not Yet Assigned		
		Filing Date	Not Yet Assigned		
		First Named Inventor	Fee		
		Group Art Unit	Not Yet Assigned		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	MS1-1809US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Decentralized Trust Management", by M. Blaze, J. Feigenbaum, J. Lacy, in Proceedings of the 1996 IEEE Symposium on Security and Privacy, pp. 164-173. Also available as a DIMACS Technical Report. This paper describes PolicyMaker. Available in Postscript at http://www .	
		"Proceedings of the Sixth International World Wide Web Conference", Santa Clara, CA, April 1997, by Y. Chu, J. Feigenbaum, B. LaMacchia, P. Resnick, M. Strauss, REFEREE: Trust Management for Web Applications. Available from http://www.farcaster.com/papers/www6-referee/index.htm .	
		"The Role of Trust Management in Distributed System Security", M. Blaze, J. Feigenbaum, J. Ionnidis, A. Keromytis, Secure Internet Programming: Security Issues for Distributed and Mobile Objects, Lecture Notes in Computer Science, vol. 1603, Springer, Berlin, 1999, pp. 185-210.	
		"Managing Trust in an Information-Labeling System", European Transactions on Telecommunications, 8 (1997), pp. 491-501. (Special issue of selected papers from the 1996 Amalfi Conference on Secure Communication in Networks.) Postscript from http://www.research.att.com/	
		"The Evolution of Java Security", by Koved, Nadalin, Neal and Lawson, including information on Java-based systems, IBM	
		Information on KeyNote including: "The KeyNote Trust-Management System: from RFC 2704, at http://www.cis.upenn.edu/~angelos/keynote.html ; and "Using the KeyNote Trust Management System" by Matt Blaze, at http://www.crypto.com/trustmgt/ .	
		"Trust management on the World Wide Web", by Khare and Rifkin, at http://www7.scu.edu.au/programme/posters/1902/com1902.htm .	
		"Compliance Checking in the PolicyMaker Trust Management System", by Blaze, Feigenbaum and Strauss, AT&T Labs-Research.	
		"Program Specialization and Partial Evaluation", 3 pages printed from http://www.diku.dk/research-groups/topps/activities/PartialEvaluation.html .	

Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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